



7/06/04

HPW

Atty. Dkt. No. 055391-0107

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Karidis, et al.

Title: SYSTEM AND METHOD FOR  
PROVIDING HEATING,  
VENTILATION AND AIR  
CONDITIONING

Appl. No.: 10/817,077

Filing Date: 04/02/2004

Examiner:

Art Unit: 3749

<b>CERTIFICATE OF EXPRESS MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
EV 458019704 US (Express Mail Label Number)	7/2/04 (Date of Deposit)
Carolyn Simpson (Printed Name)	
<i>Carolyn Simpson</i> (Signature)	

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal

Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check

being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 7/02/04

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JUL 02 2004

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Date Submitted: July 2, 2004

(use as many sheets as necessary)

Sheet

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of

1

## Complete if Known

Application Number	10/817,077
Filing Date	04/02/2004
First Named Inventor	George P. Karidis
Group Art Unit	3749
Examiner Name	

Attorney Docket Number 055391-0107

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A1	681,215			R. Goll	08-27-1901	
A2	2,339,629			H. F. Fischer, Jr.	01-18-1944	
A3	2,996,972			Ake Johansson	08-22-1961	
A4	3,122,087			C. T. Demuth, et al.	02-25-1964	
A5	3,946,647			Lärkfeldt	03-30-1976	
A6	4,506,830			Francel	03-26-1985	
A7	4,729,292			Marton	03-08-1988	
A8	4,782,999			Ishii, et al.	11-08-1988	
A9	5,318,099			Zivalich, Jr.	07-07-1994	
A10	5,338,254			Farrington	08-16-1994	
A11	6,019,677			Demster	02-01-2000	
A12	6,099,406			Demster	08-08-2000	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
A13		Promotional material titled Vari-Disc Variable Volume Ceiling Diffusers, by Rickard Air Diffusion Inc., 7711 Welborn Street, Suite 110, Raleigh, NC 27615, undated, (4 sheets).	
A14		Promotional material titled Underfloor Products, by Price Industries, 2975 Shawnee Ridge Court, Suwanee, GA 30024, bearing a designation of "2001", (5 sheets).	
A15		Promotional materials titled Access Floor Air Distribution Linear Floor Diffuser with Plenum, by Titus, 990 Security Row, Richardson, TX 75081, bearing a designation of "2000", (2 sheets).	
A16		Promotional materials titled Zebra Precision Air Valves, by Warren Technology, 2050 W. 73 St., Hialeah, FL 33016-9912, bearing a designation of "1994", (4 sheets).	
A17		Promotional materials titled Zebra Damper, by Warren Technology, 2050 W. 73 St., Hialeah, FL 33016-9912, bearing a designation of "January 1997", (11 sheets).	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on